

Report to	Governance Committee
Date	24 May 2017
By	Head of Governance
Title of Report	Corporate Risk Register

Recommendation: The Governance Committee is recommended to:

- 1) Note the Corporate Risk Register as at May 2017**
- 2) Consider if any risks should be referred to the Policy & Programme Committee**

1. Summary and Background

- 1.1 The Governance Committee has terms of reference which include "... to ensure the robustness of risk management and performance management arrangements; and to agree the Internal Audit Plan and Annual Report and receive progress and other relevant internal audit reports."
- 1.2 Corporate Governance comprises the arrangements put in place to ensure that the intended outcomes for stakeholders are defined and achieved. It includes the systems and processes, and cultures and values, by which public bodies are directed and controlled and through which they account to and engage with their partners, communities and citizens.
- 1.3 Risk management is a key aspect of corporate governance and is one of the 7 principles in the 'Delivering Good Governance in Local Government Framework (2016)' developed by Cipfa and SOLACE¹ to help public bodies make open, transparent and better informed decisions that take full account of risk and opportunities.
- 1.4 The Corporate Risk Register is to be reported to each meeting of the Governance Committee. From June 2016 the corporate risk register has been monitored by the organisation's Operational Management Team on a monthly basis and issues escalated to SMT as required.

2. The Corporate Risk Register

- 2.1 **Appendix 2** shows the risk register in a graphical way which allows Members to see, at a glance, the likelihood and impact of risks.
- 2.2 Changes to the risks included on the register are set out in the register at **Appendix 2**.
- 2.3 Significant changes are set out below for Members' information:
 - A new risk, in relation to the Authority's ability to identify, manage and deliver projects has been added to the risk register. This issue has been escalated from the Corporate Strategy risk register and was reviewed and agreed at the OMT meeting on 10 April 2017.
 - Risk 6 (Local plan) has been updated to take into account the potential impacts of the quashing of elements of the Lewes Joint Core Strategy
- 2.4 Updates to mitigations and actions across all risks are documented in **Appendix 2** to this report.

¹ Chartered Institute of Public Finance & Accountancy and Society of Local Authority Chief Executives & Senior Managers

3. Conclusion

- 3.1 Members are asked to consider if there are any risks which should be referred to the Policy and Programme Committee on the basis they may have significant resource implications.
- 3.2 The Governance Committee will receive a further update of the risk register at its next meeting.

4. Other Implications

Implication	Yes/No
Will further decisions be required by another committee/full authority?	No
Does the proposal raise any Resource implications?	There are no additional resource requirements arising directly from this report. Any additional resources required for the delivery of identified mitigations will be subject to the Authority's usual decision making requirements.
How does the proposal represent Value for Money?	Effective risk management contributes to the efficient running of the organisation.
Are there any Social Value implications arising from the proposal?	No
Has due regard been taken of the South Downs National Park Authority's equality duty as contained within the Equality Act 2010?	None arising from this report
Are there any Human Rights implications arising from the proposal?	There are no implications arising from this report.
Are there any Crime & Disorder implications arising from the proposal?	There are no implications arising from this report.
Are there any Health & Safety implications arising from the proposal?	There are no implications arising from this report.
Are there any Sustainability implications based on the 5 principles set out in the SDNPA Sustainability Strategy?	Effective risk management contributes to the principle of promoting good governance

5. Risks Associated with the Proposed Decision

- 5.1 There are no direct risks arising from this report. The report outlines the current major risks facing the Authority and how they will be mitigated.

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South Downs National Park Authority

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Appendices: 1. Explanatory Information

2. Corporate Risk Register

SDNPA Consultees: Chief Executive Officer, Director of Countryside Policy & Management, Director of Planning, Chief Finance Officer, Monitoring Officer

Background Documents: Previous Committee reports

Explanatory Information for Risk Register:

Description	Likelihood of Occurrence
Almost Certain (5)	The event is expected to occur in most circumstances.
Likely (4)	There is a strong possibility the event will occur.
Possible (3)	The event might occur at some time
Unlikely (2)	Not expected, but a slight possibility
Rare (1)	Highly unlikely. It could happen but probably never will

Category	Example Descriptor of Impact
Insignificant (1)	Basic first aid required, less than £100 financial impact, reputation remains intact.
Minor (2)	Short term injury to 1 or 2 people, minor localised disruption lasting less than 24 hours, between £100-£1000, minimal reputation impact.
Moderate (3)	Semi-permanent disability, affects between 3-50 people, high potential for complaints, financial burden between £1,000 and £10,000, litigation possible.
Major (4)	Causing death serious injury or permanent disability. Service closure for up to 1 week, significant financial burden, national adverse publicity, litigation expected.
Catastrophic (5)	Multiple deaths, Financial burden over £100,000, international adverse publicity, widespread displacement of people (over 500), complaints and litigation certain.