

Report to	Governance Committee
Date	23 September 2015
By	Governance and Support Services Manager
Title of Report	Corporate Risk Register
Purpose of Report	To present a revised corporate risk register as at September 2015

Recommendation: The Governance Committee is recommended to:

- 1. Receive the Corporate Risk Register as at September 2015**
 - 2. Consider if any risks should be referred to the Policy and Programme Committee**
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1. Introduction

- 1.1 To present the South Downs National Park Authority (SDNPA) Corporate Risk Register as at September 2015.

2. Background

- 2.1 The Governance Committee has terms of reference which include "... to ensure the robustness of risk management and performance management arrangements; and to agree the Internal Audit Plan and Annual Report and receive progress and other relevant internal audit reports."
- 2.2 Corporate Governance is the means by which the Authority ensures that it is doing the right things, in the right way, for the right people, in a timely, inclusive, open, honest and accountable manner. It comprises the systems and processes, and cultures and values, by which public bodies are directed and controlled and through which they account to and engage with their partners, communities and citizens.
- 2.3 Risk management is a key aspect of corporate governance and is one of the 6 principles in the 'Delivering Good Governance in Local Government Framework' developed by Cipfa and SOLACE¹ to help public bodies make open, transparent and better informed decisions that take full account of risk and opportunities.
- 2.4 The Corporate Risk Register is to be reported to each meeting of the Governance Committee.

3. The Corporate Risk Register

- 3.1 **Appendix I** shows the risk register in a graphical way which allows Members to see, at a glance, the likelihood and impact of risks.
- 3.2 The risk register is now managed via AspireView, which enables it to be more easily reviewed and updated. Since the last meeting of the Governance Committee the Risk register has been reviewed and updated by Heads of Team and SMT.

¹ Chartered Institute of Public Finance & Accountancy and Society of Local Authority Chief Executives & Senior Managers

- 3.3 Changes to the risks included on the register are set out in **Appendix I**. Significant changes are set out below for Members' information:
- The removal of Risk 10, as this is considered no longer a significant risk for the Authority.
 - The inclusion of the following new risks:
Risks 12, 13 & 14: These risks were added following discussion at SMT and relate to the Authority's reputation and profile with the public and other partners/agencies working within the National Park. Each of these risks retains a significant residual risk score and will remain on the corporate risk register, and be subject to ongoing review.
Risk 15: This risk was added at the request of the Governance Committee, at its meeting in June 2015. The residual risk has been assessed as being relatively low as steps to address this risk were taken at the design stage of the role creation and the nature of the contract arrangements in place would mean that the immediate end user impact of this role not being fulfilled would be limited.
Risk 16: This risk has been escalated from the Operations Directorate risk register and relates to ongoing work to address issues with the office accommodation at QECP.
- 3.4 Updates to mitigations and actions across all risks are documented in the appendix to this report.
- 4. Conclusion**
- 4.1 Members are asked to consider if there are any risks which should be referred to the Policy and Programme Committee as they have significant resource implications.
- 4.2 The Governance Committee will receive a further update of the risk register at its next meeting.
- 5. Resources**
- 5.1 There are no additional resource requirements arising directly from this report. Any additional resources required for the delivery of identified mitigations will be subject to the Authority's usual decision making requirements.
- 6. Risk management**
- 6.1 The report outlines the current major risks facing the Authority and how they will be mitigated.
- 7. Human Rights, Equalities, Health and Safety**
- 7.1 There are no implications arising from this report.
- 8. Sustainability**
- 8.1 Active management of risk contributes to the principle in the SDNPA sustainability strategy of promoting good governance. There are no other negative impacts.
- 9. External Consultees**
- 9.1 None.

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Appendices:	<ol style="list-style-type: none"> 1. Corporate Risk Register 2. Explanatory Information
SDNPA Consultees:	Chief Executive Officer, Director of Corporate Services, Director of Operations, Director of Strategy and Partnerships, Director of Planning, Chief Finance Officer, Monitoring Officer
Background Documents:	<u>Report to Standards and Audit Committee December 2013</u>

Insert PDF doc risk register

Explanatory Information for Risk Register:

Description	Likelihood of Occurrence
Almost Certain (5)	The event is expected to occur in most circumstances.
Likely (4)	There is a strong possibility the event will occur.
Possible (3)	The event might occur at some time
Unlikely (2)	Not expected, but a slight possibility
Rare (1)	Highly unlikely. It could happen but probably never will

Category	Example Descriptor of Impact
Insignificant (1)	Basic first aid required, less than £100 financial impact, reputation remains intact.
Minor (2)	Short term injury to 1 or 2 people, minor localised disruption lasting less than 24 hours, between £100-£1000, minimal reputation impact.
Moderate (3)	Semi-permanent disability, affects between 3-50 people, high potential for complaints, financial burden between £1,000 and £10,000, litigation possible.
Major (4)	Causing death serious injury or permanent disability. Service closure for up to 1 week, significant financial burden, national adverse publicity, litigation expected.
Catastrophic (5)	Multiple deaths, Financial burden over £100,000, international adverse publicity, widespread displacement of people (over 500), complaints and litigation certain.