

Appendix 4 of the SDNPA Standing Orders January 2014

Governance Committee: Terms of Reference

1. To meet the requirements of the Accounts and Audit Regulations 2011 in respect of:
 - conducting an annual review of the effectiveness of the system of internal control;
 - conducting an annual review of the effectiveness of internal audit;
 - reviewing the outcome of annual review of governance arrangements and approving the Annual Governance Statement, ensuring it contains any actions for improvement; and
 - considering and approving the Authority's annual Statement of Accounts
2. To ensure the robustness of risk management and performance management arrangements.
3. To provide assurance as to the adequacy of arrangements for the prevention and detection of fraud and corruption.
4. To agree the internal audit plan and annual report, and receive progress and other relevant internal audit reports.
5. To agree the External Auditor's Annual Audit Plan, and receive the District Auditor's Audit Results Report and other relevant reports.
6. To monitor and review the performance, including financial performance, of the Authority in the context of its business delivery and the management and maintenance of the Authority's assets in accordance with the Authority's agreed budget, Corporate Plan and other approved plans.
7. To provide assurance as to compliance with the Authority's Treasury Management Policy, Financial Regulations and Procedures.
8. To consider reports on peer reviews, self assessments, surveys and customer feedback.
9. To review Complaints, Compliments and Comments annually.
10. To promote training and advice to Members and Co-opted Members on the Code of Conduct, relevant protocols adopted by the Authority, and related matters to enable high standards of conduct to be maintained.
11. To advise the Authority on the revision or replacement of its Code of Conduct for Members and Co-opted Members, and on the review of protocols relevant to ethical standards.
12. To advise the Authority on the arrangements to be applied for the investigation and determination of allegations of failure to comply with the Code of Conduct for Members and Co-opted Members, including advice on the involvement of at least one independent person in those arrangements, and to handle and determine such allegations in accordance with the approved procedures.
13. To consider and determine an application by a Member or Co-opted Member for the grant of a dispensation under Section 33 Localism Act 2011, relieving the restrictions on participation in, and voting on, a matter in which the Member or Co-opted Member has a disclosable pecuniary interest